

Rec'd PCT/PTO 25 APR 2002  
10/030163 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: ALBERT MODL

SERIAL 10/030,163  
No.:

GROUP ART UNIT:

INTERNATIONAL APPLN. NO.:  
PCT/EP00/07123

FILED: January 30, 2002

EXAMINER:

FOR: METHOD, DEVICE AND SYSTEM FOR  
BIOMETRICALLY AUTHENTICATING A PERSON

ATTY. REFERENCE: MODL3002/JEK

#5

THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

The below identified communication(s) or document(s) is(are) submitted in response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) mailed April 1, 2002 in the above application or proceeding:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Declaration                      | <input type="checkbox"/> Issue Fee Transmittal                                   |
| <input type="checkbox"/> Priority Document                           | <input checked="" type="checkbox"/> Check in the Amount of <u>\$130.00</u>       |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Copy of Notification of Missing Requirements |
|  | <input type="checkbox"/>   |

☒ Please debit or credit Deposit Account Number 02-0200 for any deficiency or surplus in connection with this communication. A duplicate copy of this sheet is provided for use by the Deposit Account Branch.

☐ Small Entity Status is claimed.

☐

04/29/2002 HAL111 00000039 10030163

01 FC:154 130.00 OP



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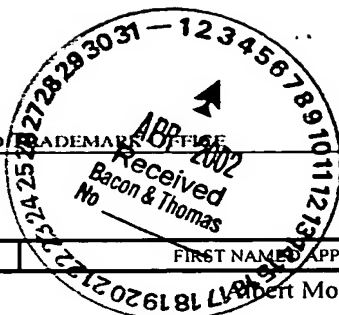
PATENT TRADEMARK OFFICE

BACON & THOMAS, PLLC  
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(703) 683-0500

DATE: April 23, 2002

Respectfully submitted,

J. ERNEST KENNEY  
Attorney for Applicant  
Registration Number: 19,179



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/030.163	Robert Modl	MODL3002/JEK

23364  
BACON & THOMAS, PLLC  
625 SLATERS LANE  
FOURTH FLOOR  
ALEXANDRIA, VA 22314

MODL 3002

Date 4-05-02 Atty JEK  
Action Due NTFM Rags  
Deadline 6-01-02  
Final Deadline 11-01-02

INTERNATIONAL APPLICATION NO.	
PCT/EP00/07123	
I.A. FILING DATE	PRIORITY DATE
07/25/2000	07/30/1999

**CONFIRMATION NO. 4360**

### 371 FORMALITIES LETTER



\*QC000000007754716\*

Date Mailed: 04/01/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- **\$130 Surcharge** for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/030,163	PCT/EP00/07123	MODL3002/JEK